2019

TRANSACTION REPORTING

Client type: PROFESSIONAL						
Financial asset: EQUITIES						
On average <1 trade per business day	YES	1				
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Orders executed as	Passive orders	Agressive Orders	Percentage of directed orders
INTERMONTE CIM CDA	VMUUTWCMIKOPEOE2610P	87 59%	99 77%	ND	ND	0.000
	YMUU1WGHJKORF9E36I98 QV4Q80GJ70A6PA8SCM13	87,58% 7,01%	88,77% 4,21%	ND ND	ND ND	
BANCA IMI SPA						0,005
BANCA IMI SPA EQUITA SIM SPA	QV4Q80GJ70A6PA8SCM13	7,01%	4,21%	ND	ND	0,005

Client type: PROFESSIONAL						
Financial asset: CW, WARR & CERTS						
On average <1 trade per business day	YES					
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Orders executed as	Passive orders	Agressive Orders	Percentage of directed orders
INTERMONTE SIM SPA	YMUU1WGHJKORF9E36I98	38,91%	56,25%	ND	ND	0,0%
SOCIETE GENERALE S.A.	O2RNE8IBXP4R0TD8PU41	33,64%	18,75%	ND	ND	0,0%
BANCA IMI SPA	QV4Q8OGJ7OA6PA8SCM13	18,25%	18,75%	ND	ND	0,0%
EXANE DERIVATIVES	2FL5XIC1B8Q6HNGN6A35	9,20%	6,25%	ND	ND	0,0%
TOTAL	0	100,00%	100,00%	ND	ND	0,00%

Client type: PROFESSIONAL						
Financial asset: FUNDS, ETF, ETN, ETC						
On average <1 trade per business day	NO					
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Orders executed as	Passive orders	Agressive Orders	Percentage of directed orders
ALL FUNDS BANK SA	95980020140005844330	58,3%	57,17%	ND	ND	0.09
BNP PARIBAS SECURITIES SERV.	549300WCGB70D06XZS53	15,5%	6,40%	ND	ND	0,05
INTERMONTE SIM SPA	YMUU1WGHJKORF9E36I98	12,1%	36,05%	ND	ND	0,09
LINK ASSET SERVICES	213800WPYXUVSUT6KI24	7,8%	0,19%	ND	ND	0,09
CAPITAL GROUP INVESTOR	5493006NOLG60NJN0B31	6,3%	0,19%	ND	ND	0,09

Client type: PROFESSIONAL		1				
Financial asset: BONDS						
On average <1 trade per business day	NO					
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Agressive Orders	Percentage of directed orders
BLOOMBERG DATA REPORTING SERVICES BV	2549009C0EQ5M7H6ZY67	88,6%	89,82%	ND	ND	0,0%
BANCA IMI SPA	QV4Q8OGJ7OA6PA8SCM13	4,1%	2,80%	ND	ND	0,0%
J.P. MORGAN SECURITIES PLC	K6Q0W1P51L1O4IQL9C32	2,8%	2,29%	ND	ND	0,0%
CS SECURITIES (EUROPE) LTD	DL6FFRRLF74S01HE2M14	2.4%	3,31%	ND	ND	
MERRIL LYNCH INTERNATIONAL	549300XBXPHWOGWFYM84	2,2%	1,78%	ND	ND	0,0%
TOTAL		100,00%	100,00%	ND	ND	0,00%

Client type: PROFESSIONAL						
Financial asset: STRUCTURED PRODUCTS						
On average <1 trade per business day	YES					
		Proportion of	Proportion of			
		Volume	Orders	Passive	Agressive	Percentage of
VENUE	LEI Code	traded as a %	executed as	orders	Orders	directed
		of total in that	% of total in	orders	Urders	orders
		class	that class			
	1	1				
TOT	u					

Client type: PROFESSIONAL		1				
Financial asset: MONETARY INSTRUMENTS						
On average <1 trade per business day	YES					
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Proportion of Orders executed as % of total in that class	Passive orders	Agressive Orders	Percentage of directed orders
None	None	None	None	None	None	None
	1					
TOTAL	1					

TOTAL		100,00%	100,00%	ND	ND	0,009
EQUITA SIM SPA	549300NQ32SRT0NWPB72	5,43%	8,1%	ND	ND	0,00
INTERMONTE SIM SPA	YMUU1WGHJKORF9E36I98	94,57%	91,9%	ND	ND	0,00
		Class	tildt tidss			
		of total in that class	% of total in that class			orders
VENUE	LEI Code	traded as a %		orders	Orders	directed
		Volume	Orders	Passive	Agressive	Percentage o
		Proportion of	Proportion of			
On average <1 trade per business day	NO					
Financial asset: EQUITIES						
Client type: RETAIL						

Client type: RETAIL						
Financial asset: CW, WARR & CERTS						
On average <1 trade per business day	YES					
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class		Passive orders	Agressive Orders	Percentage of directed orders
EXANE DERIVATIVES	2FL5XIC188Q6HNGN6A35	54.4%	83.3%	ND	ND	0,00%
UBS EUROPE SE	5299007QVIQ7I064NX37	45,6%		ND	ND	0,00%
L	I					
TOTAL		100,00%	100,00%	ND	ND	0,00%

Client type: RETAIL Financial asset: FUNDS, ETF, ETN, ETC

On average <1 trade per business day	NO					
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class		Passive orders	Agressive Orders	Percentage of directed orders
ALLFUNDS BANK SA	95980020140005844330	75,3%	76,20%	ND	ND	0.0%
INTERMONTE SIM SPA	YMUU1WGHJKORF9E36I98	14,6%	17,15%	ND	ND	0,0%
BNP PARIBAS SEC. SERVICIES	549300WCGB70D06XZS53	5,0%	1,84%	ND	ND	0,0%
EQUITA SIM SPA	549300NQ32SRT0NWPB72	3,99%	2,88%	ND	ND	0,09
BPER BANCA SPA	N7470I7JINV7RUUH6190	1,18%	1,92%	ND	ND	0,0%

TOTAL		100.00%	100.00%	ND	ND	0.00
BPER BANCA SPA	N7470I7JINV7RUUH6190	5,4%	2,78%	ND	ND	0.0
INTERMONTE SIM SPA	YMUU1WGHJKORF9E36I98	5,4%	5,56%	ND	ND	0,0
BLOOMBERG DATA REPORTING SERVICES BV	2549009C0EQ5M7H6ZY67	42,0%	88,89%	ND	ND	0,0
EFG BANK (LUXEMBOURG) SA	815600AA836FB391EA03	47,2%	2,78%	ND	ND	0,0
		class	that class			
		of total in that	% of total in that class	orders	oracia	orders
ENUE	LEI Code	traded as a %		orders	Orders	directed
		Volume	Orders	Passive	Agressive	Percentage of
		Proportion of				
On average <1 trade per business day	NO					
Financial asset: BONDS						
Client type: RETAIL						

Client type: RETAIL Financial asset: STRUCTURED PRODUCTS

Financial asset: STRUCTURED PRODUCTS					
On average <1 trade per business day	YES				
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Passive orders	Agressive Orders	Percentage of directed orders
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Client type: RETAIL Financial asset: MONETARY INSTRUMENTS

On average <1 trade per business day	YES	1				
VENUE	LEI Code	Proportion of Volume traded as a % of total in that class	Orders executed as	Passive orders	Agressive Orders	Percentage of directed orders
None	None	None	None	None	None	None
TOTAL						